Language Models and Human Language Acquisition

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For most of history, humans were the only thing in the known universe that could learn language.

In the last few years, remarkable improvements in neural language models (LMs) make us seem a little less unique.

Timeline: Things that can "learn language" (not to scale)





Figure 1: The Transformer - model architecture.

Figure 2: Human baby



...but first, what is a language model?





Language Modeling as Pretraining



Minimal Pairs

A pair of two nearly identical sentences which differ in acceptability.

Betsy is <u>eager</u> to sleep.

Betsy is <u>easy</u> to sleep.





- 1. Targeted
- 2. Reproducible
- 3. Unsupervised $P_{IM}(S_{\prime}) > P_{IM}(S_{\prime})$

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The Benchmark of Linguistic Minimal Pairs (BLiMP) (Warstadt et al., 2020)

Phenomenon	N	Acceptable Example	Unacceptable Example	
ANAPHOR AGR.	2	Many girls insulted <u>themselves</u> .	Many girls insulted herself.	
ARG. STRUCTURE	9	Rose wasn't disturbing Mark.	Rose wasn't boasting Mark.	
BINDING	7	Carlos said that Lori helped <u>him</u> .	Carlos said that Lori helped himself.	
CONTROL/RAISING	5	There was <u>bound</u> to be a fish escaping.	There was <u>unable</u> to be a fish escaping.	
DETNOUN AGR.	8	Rachelle had bought that chair.	Rachelle had bought that chairs.	
Ellipsis	2	Anne's doctor cleans one important	Anne's doctor cleans one book and	
		book and Stacey cleans a few.	Stacey cleans a few important.	
FILLER-GAP	7	Brett knew what many waiters find.	Brett knew that many waiters find.	
IRREGULAR FORMS	2	Aaron broke the unicycle.	Aaron <u>broken</u> the unicycle.	
ISLAND EFFECTS	8	Whose <u>hat</u> should Tonya wear?	Whose should Tonya wear <u>hat</u> ?	
NPI LICENSING	7	The truck has clearly tipped over.	The truck has <u>ever</u> tipped over.	
QUANTIFIERS	4	No boy knew fewer than six guys.	No boy knew <u>at most</u> six guys.	
SUBJECT-VERB AGR.	6	These casseroles disgust Kayla.	These casseroles disgusts Kayla.	

- 67 different minimal pair contrasts
- 1000 sentences each
- 12 broad categories

The Benchmark of Linguistic Minimal Pairs (BLiMP) (Warstadt et al., 2020)



The MiniBERTas





The MiniBERTas on BLiMP



The Data Efficiency Gap



Roadmap 2 **INDUCTIVE BIAS** 1 BACKGROUND

Summary

Neural Network Acceptability Judgments

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Samuel R. Bowman New York University bowman@nyu.edu

In TACL, 2018.

BLiMP: The Benchmark of Linguistic Minimal Pairs for English

Alex Warstadt¹, Alicia Parrish¹, Haokun Liu², Anhad Mohananey², Wei Peng², Sheng-FuWang¹, Samuel R. Bowman^{1,2,3}

¹Department of Linguistics ²Department of Computer Science ³Center for Data Science New York University New York University New York University

In TACL, 2020.

When Do You Need Billions of Words of Pretraining Data?

Yian Zhang,^{*,1} Alex Warstadt,^{*,2} Haau-Sing Li,³ and Samuel R. Bowman^{1,2,3} ¹Dept. of Computer Science, ²Dept. of Linguistics, ³Center for Data Science New York University

At EMNLP, 2020.



CTIONS

Acquiring Inductive Bias



Inductive biases determine how a learner generalizes given ambiguity in the input.

Language model pretraining is thought to work because it "induces a hypothesis space H that should be useful for many other NLP tasks" (Howard & Ruder, 2018)

Linguistic vs. Surface Bias



of a symbol in the middle of a string of even length.

Poverty of the **Stimulus** Design

Wilson, 2006 (see also McCoy et al.							
2018, 2020; Warstadt & Bowman,							
2020; Kim & Linzen, 2020; Hupkes e							
al., 2022; and others)							

Ambiguous Training Data							
Label=1 The boy who hugged a cat is sneezing.	A boy who is hugging the cat sneezed.						
Label=1 The guest is saying that a boat sinks.	Label=0 A guest said that the boat is sinking.						



Mixed Signals Generalization dataSet (MSGS)

	Feature type	Feature description	Positive example	Negative example
Surface	Absolute position	Is the first token of S "the"?	The cat chased a mouse.	A cat chased a mouse.
	Length	Is S longer than n (e.g., 3) words?	The cat chased a mouse.	The cat meowed.
	Lexical content	Does S contain "the"?	That cat chased the mouse.	That cat chased a mouse.
	Relative position	Does "the" precede "a"?	The cat chased a mouse.	A cat chased the mouse.
	Orthography	Does S appear in title case?	The Cat Chased a Mouse.	The cat chased a mouse.
Linguistic	Morphology	Does S have an irregular past verb?	The cats slept.	The cats meow.
	Syn. category	Does S have an adjective?	Lincoln was tall.	Lincoln was president.
	Syn. construction	Is S the control construction?	Sue is eager to sleep.	Sue is likely to sleep.
	Syn. position	Is the main verb in "ing" form?	Cats who eat mice are purring.	Cats who are eating mice purr.

Results on MSGS





Why should we conduct language acquisition ^{exp}How does the distribution of syntactic phenomena in the input affect grammatical generalization?al design

Subject Auxiliary Inversion



Poverty of the stimulus \rightarrow Innate bias?

"Surely, if children hear enough [disambiguating examples], then they could reject the [linear] hypothesis. But if such evidence is virtually absent from the linguistic data, one cannot but conclude that children do not entertain the [linear] hypothesis, because the knowledge of structure dependency is innate."

(Legate & Yang, 2001)

The man who has gone has seen the cat.

Surface Generalization:

Move the <u>first</u> auxiliary to the front.



Linguistic Generalization:

Move the <u>structurally</u> <u>highest</u> auxiliary to the front.



The Indirect Evidence Hypothesis

While a child may not receive direct evidence about the correctness of a particular hierarchical phrase structure rule..., there is vast indirect evidence for the general superiority of syntax with that structure throughout language. A learner who adopts a hierarchical phrase structure framework for describing the syntax of English will arrive at a much simpler, more explanatory account of her observations than a learner who adopts a linear framework.

(Perfors, Tenenbaum, Regier, 2011)

LMs and Subject Auxiliary Inversion

Earlier findings:

- LMs trained from scratch on ambiguous data usually adopt the surface generalization. (McCoy, Frank, and Linzen, 2018, 2020; Petty and Frank, 2022)
- Pretrained LMs fine-tuned on ambiguous data usually adopt the linguistic generalization. (Warstadt and Bowman, 2020; Mueller et al. 2020)

Confound: Pretraining data contains some direct evidence.

Language Deprivation Experiment



Questions:

- 1. Does direct evidence have a causal impact on generalization?
- 2. Is indirect evidence sufficient to learn the linguistic generalization?

Models

Filtered Condition Unfi

Unfiltered Condition (control)

48 RoBERTa models pretrained from scratch

- 2 main conditions
- 4 sizes
- 3 runs (failed runs discarded)
- 2 domains (written, spoken)



Results: General acceptability judgments on BLiMP

Question: Did the removal of direct evidence have effects on unrelated phenomena?

1.0 0.8 vccuracy 0.6 n-gram 0.4 Written Written/Filtered 0.2 Spoken Spoken/Filtered 0.0 1E6 1E7 1F8 1F9

Pretraining volume (# of words)

Answer: No

Results: Subject Aux Inversion

Question: Did the removal of direct evidence affect generalization on subject auxiliary inversion?

Answer: Slightly, only in the written domain.



pretraining_domain

books-wiki

books-wiki filtered

ngram

spoken

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Results: Subject Aux Inversion

Question: Is indirect evidence sufficient to acquire the linguistic generalization?

Answer: Yes, but only in the best case.



pretraining domain

books-wiki filtered

ngram

books-wiki

Roadmap

Summary

CHAPTER 6

The Role of Indirect Evidence in Grammar Learning:

Investigations with Causal Manipulations of the

Learning Environment

CogSci, 2020.

Dissertation, NYU, 2022.

Can neural networks acquire a structural bias from raw linguistic data?

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Advantages & Disadvantages: The data efficiency gap





UPCOMING Shared task @ CMCL/CoNLL 2023



Is a Picture Really Worth a Thousand Words (with Theodor Amariucai & Ryan Cotterell)



Big Question: How much can we close data-efficiency gap using multimodal input?

Prior work:

- Multimodal vision + text models are becoming ubiquitous.
- Models are typically pretrained LMs, fine-tuned on captions data.
- Models are rarely tested in a language-only setting.

Our approach: Multitask multimodal learning on complex and abstract texts.

Is a Picture Really Worth a Thousand Words (with Theodor Amariucai & Ryan Cotterell)




Interactive Language Mode

(With Lennart Stoepler, Mitja Nikolaus, and Ryan Cotterell)

Big Question: How much can we close data-efficiency using inter-agent interaction



Lazaridou et al., 2020; Nikolaus & Fourtassi, 2021



Interactive Language Mode

(With Lennart Stoepler, Mitja Nikolaus, and Ryan Cotterell)





Prosody and LMs



(With Lukas Wolf, Tamar Regev, Eghbal Hosseini Ethan Wilcox, & Ev Fedorenko)

Question 1: How much information does prosody encode that isn't in the text?



An utterance can be decomposed into two variables:

- T = the text (i.e., a string of words)
- P = the prosody (i.e., pitch + loudness + duration)

What is MI(T; P)?

Method: Train the most powerful possible probe to predict prosodic features from

Prosody and LMs



(With Lukas Wolf, Tamar Regev, Eghbal Hosseini Ethan Wilcox, & Ev Fedorenko)

Question 2: How much can we close the data-efficiency gap by adding prosodic information to LM training data.



Methods:

- 1. Extract text & prosody from audio corpus.
- 2. Predict prosody from our probe for a text-only corpus, and give those representations to the LM during training.

Roadmap

BACKG

1

Summary

What Artificial Neural Networks Can Tell Us About Human Language Acquisition*

Alex Warstadt, Samuel R. Bowman

In Algebraic Structures in Natural Language, 2022.

Call for Papers - The BabyLM Challenge: Sample-efficient pretraining on a developmentally plausible corpus

https://babylm.github.io/

Alex Warstadt ETH Zürich Leshem Choshen IBM Research

Ethan Wilcox ETH Zürich Adina Williams Meta AI

At CoNLL and CMCL, forthcoming in 2023.

Aaron Mueller

Johns Hopkins University

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ECT EVIDENCE

Conclusions



Figure 1: The Transformer - model architecture.

Figure 2: Human baby

Thank you!

Collaborators: Sam Bowman, Amanpreet Singh, Alicia Parrish, Yian Zhang, Haokun Liu, Haau-Sing Li, Sheng-Fu Wang, Anhad Mohananey, Wei Peng, Theodor Amariucai, Lennart Stoepler, Ryan Cotterell



Bonus Slides

The Recipe for Model Learners

As with any scientific model, there are obvious limitations with LMs.



Debates in language acquisition often center around the necessary and sufficient conditions for human-learnability.

Suppose the model **SUCCEEDS** given some experimental manipulation. How likely are humans also to succeed?





Suppose the model **FAILS** given some experimental manipulation. How likely are humans also to succeed?

Likelihood that humans show same result Human is at a Model is at a great advantage great advantage





A recipe for relevant model learners:

- Maximize relevance of positive results by minimize advantages that models have over humans.
- Maximize chances of positive results by minimizing advantages that humans have over models.

Environmental vs. Innate advantages

- It's relatively obvious how to apply this recipe to environmental advantages.
- But how do we apply this recipe to innate properties of the learner?

Typical ANNs appear to have weak language-specific advantages. But measuring and manipulating inductive bias is a serious problem where we don't have great solutions.

Indirect evidence

Distribution of direct evidence (by domain)



Discussion: What does indirect evidence for hierarchical structure look like?

1. Classic constituency tests

Fragment answers

<u>Who</u> has seen the cat? [The man who was here this afternoon]

Coordination

John and [the man who was here this afternoon] are friends.

Pronominalization [The man who was here this afternoon] left. <u>He</u> saw the cat.

Discussion: What does indirect evidence for hierarchical structure look like?

2. Other hierarchical rules

Subject Verb Agreement [The man who saw the cats] <u>is</u> here.

Passivization

I greeted [the man who saw the cat.] \rightarrow [The man who saw the cat] was greeted by me.

Intro stuff

The Mystery of Human Language Acquisition

Thousands of linguists have spent decades trying to describe the grammar of human language (and only partly succeeding).

How does a single child acquire the grammar of their native language in a matter of years?

Richness of Grammar vs. Poverty of Stimulus



[L]anguage acquisition is based on the child's discovery of what from a formal point of view is a deep and abstract theory a generative grammar of his language — many of the concepts and principles of which are only remotely related to experience by long and intricate chains of unconscious quasi-inferential steps.

A consideration of the character of the grammar that is acquired, the degenerate quality and narrowly limited extent of the available data, the striking uniformity of the resulting grammars, and their independence of intelligence, motivation, and emotional state, over wide ranges of variation, leave little hope that much of the structure of the language can be learned by an organism initially uninformed as to its general character.

(Chomsky, 1965)

Two Sources of Grammatical Knowledge





My Research



Innate Bias



The Environment

Learning which features matter

An example



$Pretraining \rightarrow Feature \ Learning$

- Dependency structures can be extracted from BERT (Hewitt & Manning, 2019)
- Contextual embeddings contain POS, semantic roles, coreference, etc. (Tenney et al., 2019a/b)

...and many more (see Rogers et al., 2020)

But feature learning isn't everything.

Representing *F* ≠ **Using** *F*

Models that represent linguistic features can still fail to use them during fine-tuning (McCoy et al., 2019).



Representing *F* ≠ **Using** *F*

Models that represent linguistic features can still fail to use them during fine-tuning (McCoy et al., 2019).



Inductive bias is also crucial to good generalization.

Learning which feature matter

New work in probing emphasizes feature *accessibility*:

- Minimum description length probing (Voita & Titov, 2020)
- Amnesic probing (Elazar et al., 2020)
- The classic probing paradigm is trivial when taken to the extreme (Pimentel et al., 2020)

We probe feature preference explicitly.

Surface features

Results: Experiment 2 (Ambiguous) (Fine-grained)



Results: Experiment 2 (Ambiguous) (Fine-grained)


Results: Experiment 2 (Ambiguous) (Fine-grained)



Surface features

Data Generation

- The MSGS data is generated from templates.
- We always test classifiers' ability to generalize out-of-domain.

In domain: The <u>big</u> dog is yawning.

Out of domain: The dog in the <u>dark</u> forest yawned.

Fine-tuning

- 9 tasks (4 linguistic + 5 surface)
- 12 miniBERTas + original RoBERTa_{BASE} (~30B words)
- The training sets are 10k sentences each









Experiment 2: Ambiguous Data

Does model X prefer linguistic feature A or surface feature B?

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We fine-tune X on an ambiguous binary classification task.

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Does model X prefer linguistic feature A or surface feature B?

We fine-tune X on an ambiguous binary classification task.

Poverty of the Stimulus design (Wilson, 2006)

• Also used by McCoy et al. (2018, 2020), Warstadt & Bowman (2020), and others.





The MiniBERTas



https://huggingface.co/nyu-mll

- 4 incremental datasets: 1M, 10M, 100M, 1B words
- We simulate the original BERT training set:
 - ~¾ English Wikipedia
 - ~¹/₄ self-published books from Smashwords
- We mostly follow the original RoBERTa training procedure.
- For each data size, we train at least 10 models with varying hyperparameters (e.g., # of parameters) & select the best 3.



Hypothetical Human Inductive Biases

Linguistic features

- Inflectional form
- Syntactic category
- Syntactic position
- Semantic roles



Surface features

- Linear position
- Length
- Lexical content
- Orthography
- Linear precedence

Syntactic filtering

Training data: 1B words from books & Wikipedia

- Percent filtered: 1.7%
- Recall (% of direct evidence removed): 99%
- Precision (% of removed data that is direct evidence): 51%



Evaluation

We do BLiMP-style evaluation on a hand-crafted test suite of subject-auxiliary inversion minimal pairs.

We designed minimal pairs following 8 different templates to probe generalization to different syntactic structures, and compared LM scores for the good and bad sentences.

Results: General acceptability judgments on BLiMP

This result holds across all phenomena in BLiMP.





The results support the indirect evidence hypothesis, but with important caveats.

- How reproducible is the best model's success?
- How important are small amounts of direct evidence that passed through the filter?
- Can models succeed with the same data-volume limitations as humans?
- Can we identify and quantify indirect evidence?

The Corpus of Linguistic Acceptability (CoLA)





Developments in text generation (2015-now)

how it started

how it's going

===Widely accepted grammars===

There are twelve dialects which concern under the language of which which in sufficient, areas will be surprising before the racial controversy, probably those who in history, and no consensual is sincere.

Karpathy (2015) http://karpathy.github.io/2015/05/21/rnn-effectiveness/ (h/t Will Merrill) Generate a wikipedia article titled: ===Widely accepted grammars===

In linguistics, grammar refers to the set of rules that govern the structure of a language.... One of the most well-known grammars is the generative grammar proposed by Noam Chomsky in the 1950s.

GPT-4 (OpenAI, 2023)

Acceptability Judgments

An empirically adequate grammar of a language L generates all and only the grammatical strings of L.

Acceptability judgments are the primary behavioral test of grammatical theories in linguistics.

Examples from linguistics publications

Mary should know that you must go to the station.
I promised that around midnight he would be there.
Susan whispered the news to Rachel.
When time will you be there?
Patrick is likely that left.
Harry coughed us into a fit.



The MiniBERTas on BLiMP



Results: Experiment 1 (Fully Ambiguous)

- 20 tasks * (12 miniBERTas + RoBERTa base)
- Linguistic bias score = 1 if linguistic, -1 if surface.
- <1B words: surface bias
- RoBERTa base: 50/50



Results: Subject Aux Inversion (BEST CASE)



The Recipe for Model Learners

- 1. Minimize any advantages that language models have over humans learners.
- 2. Provide language models with more of the advantages that we know humans have.
- 3. Gather training data from developmentally plausible sources.

